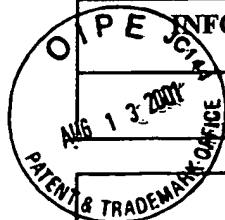


U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Docket No.: <b>153501-0357</b>	Application No.: <b>09/853,852</b>
<b>FORM PTO-1449</b>		Applicant: <b>Geis, E. et al.</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Filing Date: <b>05/11/01</b>	Group: <b>2838</b>
(Use several sheets if necessary)			

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLAS S	FILING DATE IF APPROPRIATE
IL	5,559,421	09/24/96	Miyakawa	322	58	
L	4,862,009	08/29/89	King	290	22	
L	4,841,216	06/20/89	Okada et al.	322	10	
	4,119,861	10/10/78	Gocho	290	13	

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
a	EP 0 837 231	22.04.98	EP				
a	EP 0 679 800	27.04.95	EP				

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


EXAMINER <i>[Signature]</i>	DATE CONSIDERED <i>11/9/04</i>
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\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

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## INFORMATION DISCLOSURE STATEMENT

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Evertt R. GelsFILING DATE  
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2837

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>h</i>	AA1	2,864,244	12/1958	Prachar			
	AB1	3,124,924	3/1964	Smith			
	AC1	3,569,809	3/1971	Comer			
	AD1	3,703,076	11/1972	Hagemeister			
	AE1	3,764,815	10/1973	Habock <i>et al.</i>			
	AF1	3,829,758	8/1974	Studtmann			
	AG1	3,991,357	11/1976	Kaminski			
	AH1	4,015,187	3/1977	Sasaki <i>et al.</i>			
	AI1	4,258,424	3/1981	Giras <i>et al.</i>			
	AJ1	4,265,099	5/1981	Johnson <i>et al.</i>			
	AK1	4,292,534	9/1981	Diegel <i>et al.</i>			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
<i>h</i>	AL1	3634328	4/1987	DE			Yes No
	AM1	19704662	8/1998	DE			Yes No
	AN1	0 472 294	2/1992	EP			Yes No
	AO1	0 837 231	4/1998	EP			Yes No
	AP1	0 901 218	3/1999	EP			Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

<i>h</i>	AR	1	Japanese Patent Application Abstract entitled "Turbogenerator/Motor Control System"; filed May 13, 1999, Japanese Serial No. 133003/1999.
<i>h</i>	AS	1	Japanese Patent Application Abstract entitled "Command and Control System and Method for Multiple Turbogenerators", filed October 27, 1999, Japanese Serial No. 305375/1999.
<i>h</i>	AT	1	Japanese Patent Application Abstract entitled "Turbogenerator/Motor Controller", filed August 4, 1998, Japanese Serial No. 220231/1998.

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
16	AA2	4,340,820	7/1982	Meyer-Pittroff <i>et al.</i>			
	AB2	4,401,938	8/1983	Cronin			
	AC2	4,442,385	4/1984	Van Sickle			
	AD2	4,498,551	2/1985	Arbisi			
	AE2	4,551,980	11/1985	Bronicki			
	AF2	4,560,364	12/1985	Cohen			
	AG2	4,565,957	1/1986	Gary <i>et al.</i>			
	AH2	4,684,814	8/1987	Radomski			
	AI2	4,709,318	11/1987	Gephart <i>et al.</i>			
	AJ2	4,719,550	1/1988	Powell <i>et al.</i>			
	AK2	4,730,397	3/1988	Weiford <i>et al.</i>			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
16	AL2	WO 94/27359	11/1994	WO			Yes No
	AM2	WO 98/25014	6/1998	WO			Yes No
	AN2	WO 99/32762	7/1999	WO			Yes No
	AO2	WO 99/52193	10/1999	WO			Yes No
	AP2	WO 00/28191	5/2000	WO			Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

16	AR	2	English Language Abstract for Japanese Patent 6-108879 (April 1994).
	AS	2	German Patent Application Abstract entitled "Control Circuit for Turbine AC Motor-Generator - Monitors Power Supply to Regulate Secondary Excitation Windings for Constant Operation of Synchronous Machine, filed October 8, 1986, German Serial No. DE 3634328 (April, 1987)..
	AT	2	German Patent Application Abstract entitled "Load Symmetrising e.g. for Several Power Supply Modules Including Rectifier Modules - Involves Checking Each Module to Assess Whether Bus Functions in Recessive or Dominant Condition," filed February 7, 1997, German Serial No. DE 19704662 (August, 1998).

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<i>lu</i>	AA3	4,754,607	7/1988	Mackay			
	AB3	4,757,686	7/1988	Kawamura <i>et al.</i>			
	AC3	4,772,802	9/1988	Glennon <i>et al.</i>			
	AD3	4,802,882	2/1989	Heidrich			
	AE3	4,833,887	5/1989	Kawamura <i>et al.</i>			
	AF3	4,883,973	11/1989	Lakey <i>et al.</i>			
	AG3	4,908,565	3/1990	Cook <i>et al.</i>			
	AH3	4,955,199	9/1990	Kawamura			
	AI3	4,967,096	10/1990	Diemer <i>et al.</i>			
	AJ3	4,968,926	11/1990	Dhyanchand			
	AK3	4,982,569	1/1991	Bronicki			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
<i>lu</i>	AL3	6-108879	04/1994	Japan			Yes No
	AM3						Yes No
	AN3						Yes No
	AO3						Yes No
	AP3						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

<i>lu</i>	AR	<u>3</u>	
	AS	<u>3</u>	
	AT	<u>3</u>	

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IC	AA4	5,013,929	5/1991	Dhyanchand			
	AB4	5,015,941	5/1991	Dhyanchand			
	AC4	5,029,062	7/1991	Capel			
	AD4	5,038,566	8/1991	Hara			
	AE4	5,055,764	10/1991	Rozman <i>et al.</i>			
	AF4	5,057,763	10/1991	Torii <i>et al.</i>			
	AG4	5,088,286	2/1992	Muraji			
	AH4	5,115,183	5/1992	Kyookane <i>et al.</i>			
	AI4	5,158,504	10/1992	Stocco			
	AJ4	5,191,520	3/1993	Eckersley			
	AK4	5,250,890	10/1993	Tanamachi <i>et al.</i>			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL4						Yes No
	AM4						Yes No
	AN4						Yes No
	AO4						Yes No
	AP4						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	4	
	AS	4	
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
AA5	5,252,860	10/1993	McCarty <i>et al.</i>			
AB5	5,260,637	11/1993	Pizzi			
AC5	5,291,106	3/1994	Murty <i>et al.</i>			
AD5	5,309,081	5/1994	Shah <i>et al.</i>			
AE5	5,325,043	6/1994	Parro			
AF5	5,345,154	9/1994	King			
AG5	5,363,032	11/1994	Hanson <i>et al.</i>			
AH5	5,364,309	11/1994	Heidrich <i>et al.</i>			
AI5	5,373,195	12/1994	De Doncker <i>et al.</i>			
AJ5	5,381,081	1/1995	Radun			
AK5	5,404,092	4/1995	Gegner			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
AL5						Yes No
AM5						Yes No
AN5						Yes No
AO5						Yes No
AP5						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	5	
AS	5	
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
AA6	5,406,797	4/1995	Kawamura			
AB6	5,477,091	12/1995	Fiorina <i>et al.</i>			
AC6	5,488,286	1/1996	Rozman <i>et al.</i>			
AD6	5,495,162	2/1996	Rozman <i>et al.</i>			
AE6	5,495,163	2/1996	Rozman <i>et al.</i>			
AF6	5,510,696	4/1996	Naidu <i>et al.</i>			
AG6	5,512,811	4/1996	Latos <i>et al.</i>			
AH6	5,545,090	8/1996	Kirschey			
AI6	5,546,742	8/1996	Shekhawat <i>et al.</i>			
AJ6	5,550,410	8/1996	Titus			
AK6	5,550,455	8/1996	Baker			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
AL6						Yes No
AM6						Yes No
AN6						Yes No
AO6						Yes No
AP6						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	6	
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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA7	5,563,802	10/1996	Plahn <i>et al.</i>			
	AB7	5,568,023	10/1996	Grayer <i>et al.</i>			
	AC7	5,572,108	11/1996	Windes			
	AD7	5,581,168	12/1996	Rozman <i>et al.</i>			
	AE7	5,594,322	1/1997	Rozman <i>et al.</i>			
	AF7	5,637,987	6/1997	Fattic <i>et al.</i>			
	AG7	5,646,458	7/1997	Bowyer <i>et al.</i>			
	AH7	5,656,915	8/1997	Eaves			
	AI7	5,767,637	6/1998	Lansberry			
	AJ7	5,903,116	5/1999	Geis <i>et al.</i>			
	AK7	5,905,346	5/1999	Yamada <i>et al.</i>			

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL7						Yes No
	AM7						Yes No
	AN7						Yes No
	AO7						Yes No
	AP7						Yes No

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AA8		5,929,537	7/1999	Glennon			
AB8		5,936,312	8/1999	Koide <i>et al.</i>			
AC8		5,939,794	8/1999	Sakai <i>et al.</i>			
AD8		5,946,086	8/1999	Bruce			
AE8		5,982,645	11/1999	Levrant <i>et al.</i>			
AF8		5,998,976	12/1999	Steffan			
AG8		6,005,297	12/1999	Sasaki <i>et al.</i>			
AH8		6,020,713	2/2000	Geis <i>et al.</i>			
AI8		6,023,135	2/2000	Gilbreth <i>et al.</i>			
AJ8		6,031,294	2/2000	Geis <i>et al.</i>			
AK8		6,051,951	4/2000	Arai <i>et al.</i>			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
AL8							Yes No
AM8							Yes No
AN8							Yes No
AO8							Yes No
AP8							Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	8	
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AA9	6,064,122	5/2000	McConnell			
AB9	6,147,414	11/2000	McConnell <i>et al.</i>			
AC9	6,153,942	11/2000	Roseman <i>et al.</i>			
AD9	6,169,332 B1	1/2001	Taylor <i>et al.</i>			
AE9	6,169,334 B1	1/2001	Edelman			
AF9	6,175,210 B1	1/2001	Schwartz <i>et al.</i>			
AG9	6,194,794 B1	2/2001	Lampe <i>et al.</i>			
AH9	6,323,625	11/2001	Bhargava			
AI9	6,487,096 B1	11/2002	Gilbreth <i>et al.</i>			
AJ9						
AK9						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
AL9						Yes No
AM9						Yes No
AN9						Yes No
AO9						Yes No
AP9						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	9	
AS	9	
AT	9	

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